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PATENT
Attorney Docket No: 28335/36996US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffery S. Bartlett

Serial No. 10/038,972

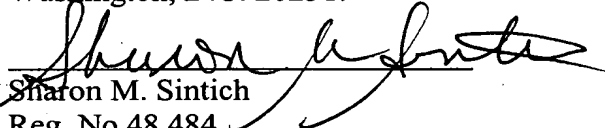
Filed: January 4, 2002

For: AAV2 Vectors and Methods

Group Art Unit: To be determined

Examiner: To be determined

) I hereby certify that this paper and the
) documents referred to as enclosed therewith
) are being deposited with the United States
) Postal Service as first class mail, postage
) prepaid, on May 8, 2002 in an envelope
) addressed to Commissioner for Patents,
) Washington, D.C. 20231.

) 
) Sharon M. Sintich
) Reg. No. 48,484
) Agent for Applicants
)

**PRELIMINARY AMENDMENT AND TRANSMITTAL OF EXECUTED
DECLARATION**

Commissioner for Patents
Washington, DC 20231

Sir:

This amendment is in response to the Notice to File Missing Parts mailed by the U.S. Patent and Trademark Office on March 14, 2002, in which the Applicants were required to submit a substitute sequence listing and an executed Inventors' Declaration. This response is timely filed.

AMENDMENT

IN THE SEQUENCE LISTING

Please replace the sequence listing (15 pages) with the substitute sequence listing (16 pages) filed herewith (**Exhibit A**).